



PATENT  
ATTY. DOCKET NO.: STEM1110-3

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Twardzik et al. Art Unit: 1646  
Serial No.: 09/932,172 Examiner Unassigned  
Filed: August 17, 2001  
Title: TGF- $\alpha$  POLYPEPTIDES, FUNCTIONAL FRAGMENTS AND METHODS OF  
USE THEREFOR

#7

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**STATEMENT UNDER 37 C.F.R. §§ 1.821(f) and (g);**  
**37 C.F.R. §§ 1.825(a), (b) and (d)**

Sir:

I hereby state, as required by 37 C.F.R. §§ 1.821(f) and (g), that the information recorded in computer readable form is identical to the written sequence listing and does not include new matter.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.825(a), is supported in the application, as shown in the enclosed Amendment, and does not include new matter.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>May 10, 2002</u> , in an envelope addressed to: U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327, Arlington, VA 22202.	
Mikhail Bayley	
Name of Person Mailing Paper	
<i>Mikhail Bayley</i>	
Signature	May 10, 2002
	Date

In re Application of:  
Twardzik *et al.*  
Application No.: 09/932,172  
Filed: August 17, 2001  
Page 2

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I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. § 1.825 (b), is the same as the amended Sequence Listing.

I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. § 1.825 (d), is identical to that originally filed.

Respectfully submitted,

Date: May 10, 2002

  
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**Customer Number: 28213**



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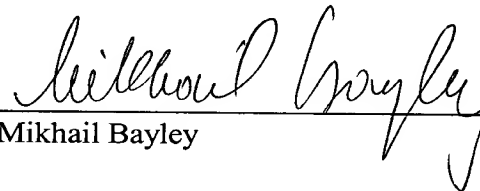
**VERIFIED STATEMENT UNDER 37 C.F.R. § 1.821(f)**

Sir:

I, Mikhail Bayley, declare that I personally prepared the paper and the computer-readable copies of the Sequence Listing filed herewith in the above-entitled case and that the content of both is the same.

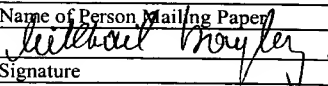
I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: May 10, 2002

  
Mikhail Bayley

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4365 Executive Drive, Suite 1100  
San Diego, CA 92121-2133

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<b>Mikhail Bayley</b>	
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,172	08/17/2001	Daniel R. Twardzik	STEM1110-3

CONFIRMATION NO. 5395

## FORMALITIES LETTER



\*OC000000007626009\*

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Date Mailed: 03/13/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

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